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## Evaluating Resource-Lean Cross-Lingual Embedding Models in Unsupervised Retrieval







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#### Motivation

Bilingual lexicon induction (BLI) is the standard evaluation task for projection-based CLE-models.

- Does CLIR performance correlate with BLI performance?
- How do CLIR models compare to resourceintensive Machine Translation models?
- How does the CLIR performance of CLE models vary across different language pairs?

#### **CLE Models**

#### **Canonical Correlation Analysis (CCA)** [1]:

- Treat  $X_S$  and  $X_T$  as different views on the same data points
- Learn the data representations that maximize the correlation between the two views using CCA.

#### **Procrustes Problem (Proc)** [3]:

- Treat learning mapping as optimization problem:  $W^* = \operatorname{argmin}_{w \in M_d(\mathbb{R})} \|WX_S X_T\|_F$
- Dictionary of 5k word-pair translations

#### **Procrustes with Bootstrapping (Proc-B):**

- Same like Proc, except we start with smaller seed dictionary (1k)
- Dictionary later expanded with bootstrapping

### Relaxed Cross-Domain Similarity Local Scaling (RCSLS) [7]:

- Directly optimize for BLI inference metric
- Cross-Domain Similarity Local Scaling (CSLS) between  $WX_S$  and  $X_T$
- Cosine similarity normalized with the avg. sim. that each vector has with its cross-lingual nearest neighbors

#### **Iterative Closest Point Model (ICP)** [4]:

- Learn initial projection matrices and word alignments with ICP algorithm
- Each iteration:
- 1. Fix projections and find the optimal word alignment *D*
- 2. Use D to update the projection matrices.
- Expand D with bootstrapping and produce final mapping by solving Procrustes problem

#### Adversarial Alignment (Muse) [2]:

- Use adversarial learning to learn a projection matrix W, mapping  $X_S$  to  $X_T$
- Adversary predicts if embedding comes from  $WX_S$  or from  $X_T$ .
- Mapper tries to update W to best fool adversary

#### Heuristic Alignment (VecMap) [5]:

- Assume word translations have similar distributions of similarities with other words from the same language
- Word pairs with closest vectors of monolingual similarity distributions make the initial seed dictionary D

#### **Cross-lingual Embeddings (CLE)**

- Cross-lingual Embeddings facilitate cross-lingual NLP and IR
- Start with monolingual word embedding space for source language  $X_s$  and target language  $X_T$
- CLE methods map words from source to target language:  $X_{CL} = X_S W \cup X_T$ , or both languages into a new shared space:  $X_{CL} = X_S W_S \cup W_T X_T$
- Resource-Lean CLE -> either no, or only weak, bilingual signal (word-translation pairs) used
- Retrieval models [6]:
  - Unigram-Language Model + Diritchlet Smoothing (LM-UNI)
  - Google Translate + LM-UNI (MT-IR)
  - (IDF weighted) Bag-of-Word-Embedding-Aggregation (Agg-IDF)
  - Term-by-Term Query Translation + LM-UNI (TbT-QT)
- **CLIR Datasets:** 
  - CLEF 2003 [0]: 60 queries, average document collection size: 131K
  - Europarl: Randomly sampled 1K "queries" 100K "documents" for each language
- **BLI dataset:** Most frequent 7K English words automatically translated (2k held out test data)

#### **Document-level CLIR on CLEF (MAP)**

Model	CLE Model	DE-FI	DE-IT	DE-RU	EN-DE	EN-FI	EN-IT	EN-RU	FI-IT	FI-RU	AVG
LM-UNI	-	.111	.143	.000	.142	.142	.137	.001	.132	.001	.090
MT-IR	-	.340	.418	.196	.339	.278	.423	.225	.389	.212	.313
Agg-IDF	CCA	.251	.210	.158	.249	.193	.243	.151	.145	.146	.194
	Proc	.255	.212	.152	.261	.200	.240	.152	.149	.146	.196
	Proc-B	.294	.230	.155	.288	.258	.265	.166	.151	.136	.216
	RCSLS	.196	.189	.122	.237	.127	.210	.133	.130	.113	.162
	ICP	.252	.170	.167	.230	.230	.231	.119	.117	.124	.182
	Muse	.001	.210	.195	.280	.000	.272	.002	.002	.001	.107
	VecMap	.240	.129	.162	.200	.150	.201	.104	.096	.109	.155
	CCA	.052	.112	.074	.079	.063	.174	.090	.031	.014	.077
	Proc	.061	.098	.058	.081	.048	.181	.069	.044	.021	.073
TbT-QT	Proc-B	.054	.155	.048	.097	.057	.196	.058	.024	.050	.082
	RCSLS	.069	.112	.088	.104	.037	.167	.096	.070	.025	.085
	ICP	.019	.062	.078	.079	.043	.143	.086	.012	.056	.064
	Muse	.000	.131	.111	.102	.001	.196	.001	.004	.001	.061
	VecMap	.204	.166	.080	.205	.087	.237	.117	.140	.115	.150

Model	CLE Model	DE-FI	DE-IT	EN-DE	EN-FI	EN-IT	FI-IT	AVG
LM-UNI	-	.040	.064	.066	.041	.067	.033	.052
MT-IR	-	.520	.676	.712	.639	.783	.686	.669
Agg-IDF	CCA	.487	.602	.761	.483	.790	.361	.581
	Proc	.497	.614	.766	.481	.791	.371	.587
	Proc-B	.523	.636	.778	.498	.791	.395	.604
	RCSLS	.477	.562	.754	.505	.784	.320	.567
	ICP	.637	.723	.822	.622	.858	.537	.700
	Muse	.020	.630	.764	.009	.774	.010	.368
	VecMap	.590	.599	.741	.551	.789	.442	.619
TbT-QT	CCA	.021	.118	.071	.031	.234	.023	.083
	Proc	.022	.210	.077	.032	.236	.025	.085
	Proc-B	.029	.133	.065	.014	.247	.023	.087
	RCSLS	.025	.140	.140	.044	.282	.048	.113
	ICP	.022	.081	.056	.028	.132	.018	.056
	Muse	.008	.125	.072	.009	.204	.010	.071
	VecMap	.098	.262	.291	.068	.437	.098	.209

## Bilingual Lexical Induction (MRR)

CLE Model	AVG
CCA	.441
Proc	.447
Proc-B	.422
RCSLS	.481
VecMap	.391
Muse	.211
ICP	.336

Sentence-level CLIR on Europarl (MRR)

#### Conclusion

- CLIR results do not follow the trends observed in the BLI task → Overfitting CLE models
  to word translation performance may hurt performance in downstream tasks such as CLIR
- MT is a better option for document-level CLIR, Resource-lean CLE models are viable for sentence-level CLIR
- Agg-IDF variants significantly outperform corresponding TbT-QT models
- TbT-QT models in many cases perform worse than the LM-UNI baseline

[0] http://catalog.elra.info/product\_info.php?products\_id=888[1] Manaal Faruqui and Chris Dyer. 2014. Improving vector space

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